

# 5G Embraces Satellites for 6G Ubiquitous IoT: Basic Models for Integrated Satellite Terrestrial Networks

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**Abstract**—Terrestrial communication networks mainly focus on users in urban areas but have poor coverage performance in harsh environments, such as mountains, deserts, and oceans. Satellites can be exploited to extend the coverage of terrestrial fifth-generation networks. However, satellites are restricted by their high latency and relatively low data rate. Consequently, the integration of terrestrial and satellite components has been widely studied to take advantage of both sides and enable the seamless broadband coverage. Due to the significant differences between satellite communications (SatComs) and terrestrial communications (TerComs) in terms of channel fading, transmission delay, mobility, and coverage performance, the establishment of an efficient hybrid satellite–terrestrial network (HSTN) still faces many challenges. In general, it is difficult to decompose an HSTN into a sum of separate satellite and terrestrial links due to the complicated coupling relationships therein. To uncover the complete picture of HSTNs, we regard the HSTN as a combination of basic cooperative models that contain the main traits of satellite–terrestrial integration but are much simpler and thus more tractable than the large-scale heterogeneous HSTNs. In particular, we present three basic cooperative models, i.e., model

*X*, model *L*, and model *V*, and provide a survey of the state-of-the-art technologies for each of them. We discuss future research directions toward establishing a cell-free, hierarchical, decoupled HSTN. We also outline open issues to envision an agile, smart, and secure HSTN for the sixth-generation ubiquitous Internet of Things.

**Index Terms**—Basic cooperative model, hybrid satellite–terrestrial network (HSTN), Internet of Things (IoT), resource management, sixth generation (6G).

## I. INTRODUCTION

WITH the development of the fifth-generation (5G) communication networks, the world has witnessed a huge shift in the daily lives of people. People are not merely content to use the network to deliver messages but use it to interact with everything. Undoubtedly, the era of the Internet of Things (IoT) is around the corner. Numerous items, such as sensors, vehicles, tablets, and wearable devices, are joining the network, fostering a series of techniques and applications. For example, by leveraging the autonomous inspection of monitors, intelligent transportation [1]–[3], coastal monitoring [4], [5], and smart agriculture [6] are rapidly evolving. In addition, the agile measurement of sensors enables autonomous driving [7], smart healthcare [8], and fast disaster recovery [9]. To accelerate the development of these applications, accompanying technologies such as machine learning [10], unmanned aerial vehicle (UAV) communications [11], [12], and blockchain [13] have been introduced to tackle communication, computation, and security challenges. However, the items to be connected are widely distributed. For remote areas, such as seas, mountains, and depopulated zones, traditional cellular base stations (BSs) are still difficult to deploy [14]. In this sense, satellites could provide global coverage, and it is necessary to combine satellite communications (SatComs) and terrestrial communications (TerComs) to support the coming ubiquitous IoT.

When discussing SatComs, there are several problems that need to be taken into account. First, the distance of a satellite link is much longer than that of a terrestrial link. Thus, the path loss of SatComs is usually very high, which requires ground terminals to be equipped with high-power transmitters and high-sensitivity receivers. As a result, it is difficult to keep terminals small. Second, the beam spots from adjacent satellites may overlap, resulting in severe intersatellite interference.

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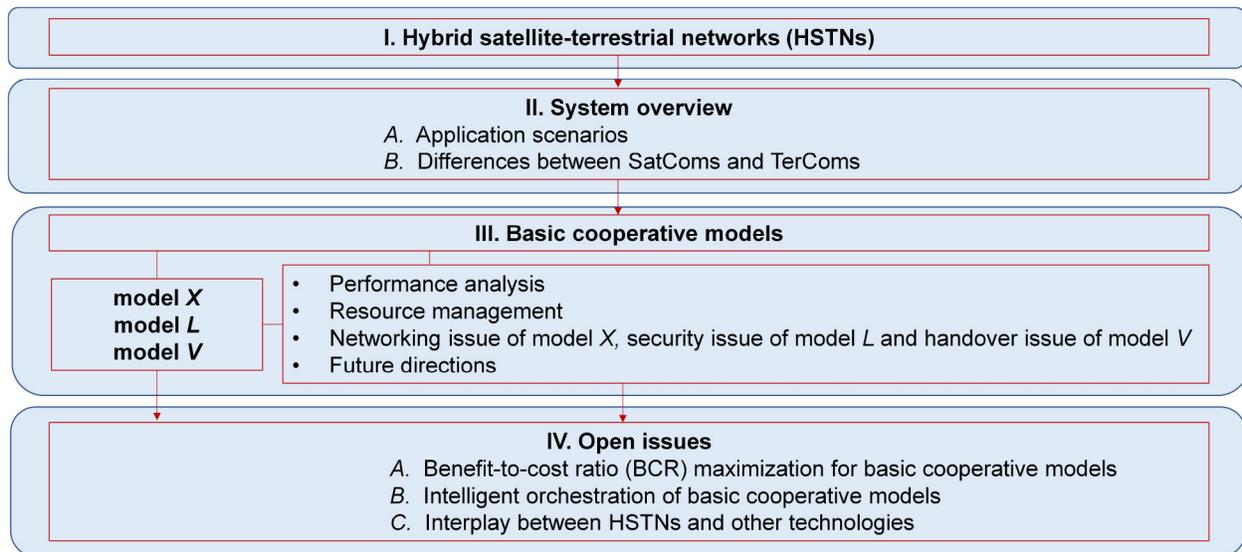


Fig. 1. Structure of this article.

The cost of providing broadband communication services via satellites is very high. Thus, combining satellite and terrestrial networks to make use of the wide coverage of satellites and the high capacity of terrestrial networks in the sixth-generation (6G) era is of great interest [15].

There have been a few key milestones in the conceptualization and development of hybrid satellite-terrestrial networks (HSTNs). The concept of HSTNs originated in 1964 [16] and 1965 [17], [18], where mutual interference between terrestrial BSs (TBSs) and fixed terminals (FTs) was studied. In 1983, Lee [19] first introduced the concept of mobile satellite and terrestrial system symbiosis and discussed key issues. Later, in 1988, Richharia *et al.* [20] introduced the synergy of mobile satellites and terrestrial systems. In 1992, Caini *et al.* [21] introduced a satellite-terrestrial system and evaluated the co-channel interference (CCI). The interference from terrestrial sources to satellite receivers was investigated in 1992 [22] and 1993 [23]. In 1995, Ananasso and Priscoli considered the integration of SatComs and TerComs [24]. In 1996, Bond and Curran proposed the same idea as that in [24] from a business perspective [25].

Currently, with the development of 5G networks, the integration of satellites and 5G networks has attracted much attention from standardization organizations, companies, and research institutes. Several organizations, such as the 3rd Generation Partnership Project (3GPP) and the International Telecommunication Union (ITU), have set up special working groups for the standardization of HSTNs. The ITU has proposed four application scenarios for satellite-5G integration and the key factors that must be considered to support these scenarios, such as intelligent routing and dynamic caching. The 3GPP has defined the deployment scenarios of nonterrestrial networks (NTNs) for 5G, including eight enhanced mobile broadband (eMBB) scenarios and two massive machine-type communication (mMTC) scenarios [26]. Some enterprises have also conducted research on satellite-terrestrial integration. In 2018, satellite and terrestrial network for 5G (SaT5G)

experimentally demonstrated the architecture of HSTNs, where a pre-5G test platform using the software-defined networking (SDN), network function virtualization (NFV), and mobile-edge computing (MEC) technologies was integrated with geostationary Earth orbit (GEO) satellites [27]. By February 2020, SaT5G had finished the 5G hybrid backhaul demonstration on the Zodiac Inflight Innovations testbed, which not only adopts the network virtualization of both satellite and terrestrial components but also achieves integrated resource management and orchestration [28]. In September 2020, European Space Agency announced the completion of the SatNex IV project and completed an early assessment of transforming promising terrestrial telecommunication technologies into space applications [29].

To date, several survey papers have reviewed HSTNs from different perspectives. In particular, Burkhart [30] investigated channel models and terrestrial interference for satellite television broadcasts. Focusing on the transportation and network layers, Taleb *et al.* [31] investigated the challenges, opportunities, and solutions for HSTNs. Niephaus *et al.* [32] conducted a survey on the Quality-of-Service (QoS) provision in HSTNs. Liu *et al.* [33] reviewed network designs and optimization of HSTNs. Wang *et al.* [34] provided a generic overview of the representative architectures, present research, and evaluation works of different satellite-terrestrial networks. These surveys have provided very useful discussions on the concepts, challenges, and key technologies of HSTNs. However, to the best of our knowledge, basic cooperative models for HSTNs have not been investigated.

Due to the significant differences between SatComs and TerComs in terms of channel fading, transmission delay, mobility, and coverage performance, a large-scale HSTN cannot be simply decomposed into a sum of separate satellite and terrestrial links. The gap between microlink analysis and macronetwork evaluation needs to be filled to uncover the complete picture of HSTNs. Toward this end, we may consider the HSTN as a combination of basic cooperative

models, which contain the main traits of satellite–terrestrial integration but are much simpler and, thus, more tractable than a large-scale heterogeneous HSTN. In this article, we present a mesoscopic sight for HSTNs using three basic cooperative models, i.e., model *X*, model *L*, and model *V*, and provide a survey of the state-of-the-art technologies for each of them. We investigate some main problems and their solutions, from the perspectives of performance analysis, resource management, and networking and security issues. On that basis, we point out future directions toward establishing a cell-free, hierarchical, decoupled HSTN. We also outline open issues to envision an agile, smart, and secure HSTN for 6G ubiquitous IoT.

The remainder of this article is organized as follows. In Section II, an overview of HSTNs, including application scenarios and the main differences between SatComs and TerComs is presented. In Section III, we discuss three basic cooperative models to better understand HSTNs. For each model, the state-of-the-art technologies are further investigated, and future directions toward establishing a cell-free, hierarchical, decoupled HSTN are briefly discussed. Section IV outlines some open issues toward developing an agile, smart, and secure HSTN in the 6G era. Finally, conclusions are given in Section V. The contents and architecture of this article are shown in Fig. 1. The abbreviations used in this article are listed in Table I.

## II. SYSTEM OVERVIEW

### A. Application Scenarios

As depicted at the bottom of Fig. 2, HSTN is an integration of satellite and terrestrial networks. TBSs, ground mobile terminals (GMTs), and backbone on the ground together make up the terrestrial network. The TBSs can access the cloud through wired backhaul. GEO satellites, medium/low Earth orbit (MEO/LEO) satellites, satellite terminals (STs), including satellite mobile/FTs (SMTs/SFTs), hybrid mobile terminals (HMTs),<sup>1</sup> gateways, and high-altitude platforms (HAPs) make up the satellite network. In HSTN, satellite networks and terrestrial networks are integrated together. Satellites can access the cloud from gateways [35]. In urban areas, cellular BSs and GMTs coexist with satellite receivers, and CCI is an important problem. In suburban areas, such as those near the sea, SatComs can be used to jointly provide seamless connections. HMTs can gain access from TBSs when they are within TBS coverage and can communicate via satellites when TBSs are not available. In remote regions, such as deserts, far sea, and rural areas, where cellular services are scarcely available, satellites can provide communication services, and TBSs usually work as relays to forward signals between satellites and STs [36], [37]. In summary, the incomplete coverage of terrestrial networks can be greatly strengthened by HSTNs through careful satellite constellation designs [38]. In addition, ultradense LEO networks can provide efficient data offloading [39]. Airships and airplanes can serve as high-altitude relays [40], and UAVs can provide complementary coverage [41]. Thus, the HSTN is composed of satellite, aerial, and terrestrial domains [42], [43].

<sup>1</sup>In this article, we refer to dual-mode mobile terminals, which can be used for both SatComs and TerComs, as HMTs.

TABLE I  
ABBREVIATIONS

Abbreviation	Full name
3GPP	3rd Generation Partnership Project
4G	fourth-generation
5G	fifth-generation
6G	sixth-generation
ADMM	alternating direction method of multipliers
AF	amplify-and-forward
ASER	average symbol error rate
BCR	benefit-to-cost ratio
BS	base station
CCI	co-channel interference
CNR	carrier-to-noise ratio
CSI	channel state information
DF	decode-and-forward
EC	ergodic capacity
eMBB	enhanced mobile broadband
FT	fixed terminal
GEO	geostationary earth orbit
GMT	ground mobile terminal
HAP	high-altitude platform
HMT	hybrid mobile terminal
HSTN	hybrid satellite-terrestrial network
ICN	information-centric networking
IoT	Internet of Things
IP	Internet Protocol
ITU	International Telecommunication Union
LEO	low earth orbit
MEC	mobile edge computing
MEO	middle earth orbit
MGF	moment generating function
MIMO	multiple input multiple output
MISO	multiple input single output
MMSE	minimum mean squared error
mMTC	massive machine-type of communication
mmWave	millimeter wave
MPSK	multiple phase shift keying
MTCP	multipath transmission control protocol
MRC	maximum ratio combination
NFV	network function virtualization
NOMA	nonorthogonal multiple access
NR	new radio
NTN	non-terrestrial network
OP	outage probability
PU	primary user
QoS	quality of service
RTT	round trip time
SaT5G	satellite and terrestrial network for 5G
SatCom	satellite communication
SC	selective combination
SDN	software defined networking
SER	symbol error rate
SFT	satellite fixed terminal
SIMO	single input multiple output
SINR	signal-to-interference-plus-noise ratio
SISO	single input single output
SMT	satellite mobile terminal
SNR	signal-to-noise ratio
ST	satellite terminal
SU	second user
TerCom	terrestrial communication
TBS	terrestrial base station
UAV	unmanned aerial vehicle

Unlike a single satellite or terrestrial network, the HSTN is heterogeneous. The distinct characteristics of SatComs and TerComs impose great challenges when attempting to evaluate and establish an efficient HSTN. In the following section, the main differences between SatComs and TerComs are summarized.

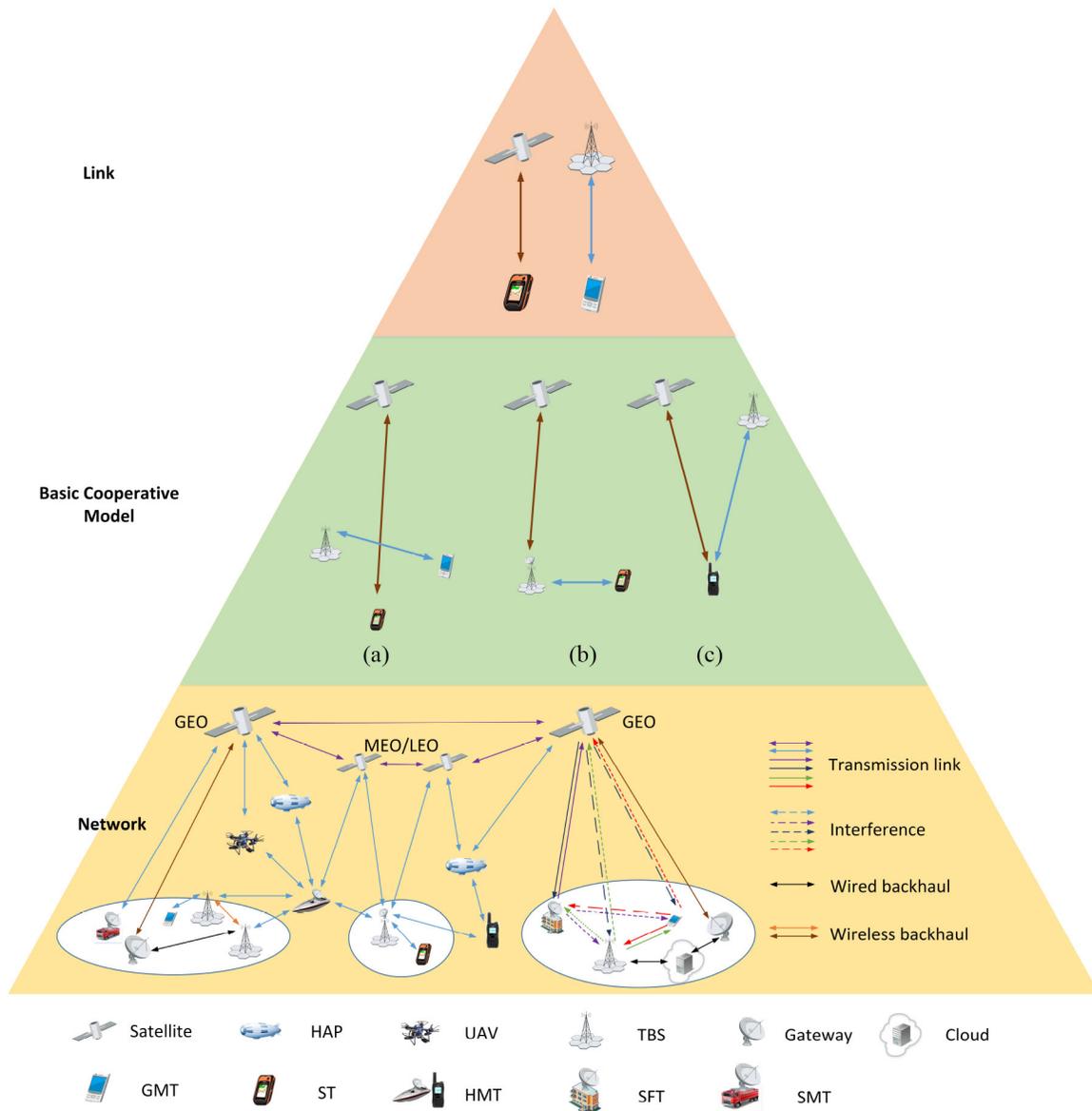


Fig. 2. Illustration of an HSTN, which consists of satellite, aerial, and terrestrial domains. Focusing solely on wireless links, the HSTN can be considered as a combination of three basic cooperative models: 1) model X; 2) model L; and 3) model V. These basic cooperative models provide a mesoscopic sight to fill the gap between microlink analysis (simple but difficult to characterize the coupling among diverse links) and macronetwork evaluation (including all interactions but complex) of HSTNs.

### B. Differences Between SatComs and TerComs

The SatCom radio propagation environment is quite different from that of the terrestrial case [30], [44]. For example, the transmission distance is longer (thus higher propagation loss and larger transmission delay), less scatterer occurs (thus usually with a direct path), and there are more attenuation effects due to rain and atmosphere conditions [45]–[47]. Particularly, in [47], the influence on the coupling between satellite and terrestrial radios due to the rain attenuation was analyzed. In [48], a unified multiple input–multiple output (MIMO) channel model for mobile satellite systems with ancillary terrestrial components was presented. In [49] and [50], some approaches to predicting satellite channel statistics were proposed. The interference impact between satellite and terrestrial links was also discussed.

In addition to channel models, there are also significant differences in transmission delay, mobility, and coverage performance of SatComs and TerComs. We summarized these differences of the fourth-generation (4G)/5G networks in Table II. Moreover, HSTNs need to serve a large number of users with various QoS requirements under limited spectrum and power resources. Resource reuse presents complex and varying interference under the influence of dynamic services, which directly restricts the system capacity and performance. Undoubtedly, the significant differences are the main factors restricting system performance.

Due to these differences, HSTN cannot be simply decomposed into a sum of separate satellite and terrestrial links due to the complicated coupling relationships therein. However, it is also impractical to treat the whole network as a unit due to

TABLE II  
COMPARISON BETWEEN SATCOMS AND TERCOMS

Characteristics	SatComs	TerComs
Wireless channel	Higher propagation loss Mainly affected by atmosphere and rain	Lower propagation loss Mainly affected by blocks and scatters
	Mostly Rician channels (with a direct path)	Mostly multipath channels (Rician channels in open areas)
	High Doppler frequency offset for MEO/LEO satellites (e.g., approximately 35.4 kHz for Iridium)	Low Doppler frequency offset for low-speed GMTs
One-way transmission delay	High GEO satellites: approx. 270 ms MEO satellites: approx. 130 ms (e.g., for O3b) LEO satellites: less than 40 ms (e.g., 10–30 ms for Globalstar)	Low 4G: less than 10 ms 5G: less than 1 ms
Mobility	GEO satellites: static to earth MEO/LEO satellites: fast (e.g., period less than 130 min for Globalstar)	Cellular communications: static Device-to-device and vehicle-to-vehicle communications: up to hundreds of kilometers per second
Coverage	Wide Wide beam: over 100 km with a single beam for GEO satellites Spot beam: depends on the beam width and altitude	Limited 4G: 500–2000 m for a single cell in urban areas 5G: 100–300 m for a single cell in urban areas

the high complexity. To present a clear picture of the HSTN, we consider basic cooperative models between SatComs and TerComs, which contain the main traits of satellite–terrestrial integration but are much simpler and, thus, more tractable than the whole heterogeneous network.

### III. BASIC COOPERATIVE MODELS

Although the network is complex, we find that HSTNs can be considered as multifarious combinations of three basic cooperative models. These basic cooperative models are expected to characterize the basic cooperation behavior between SatComs and TerComs with the fewest wireless links. As depicted in the middle layer of Fig. 2, each model consists of only one satellite link and one terrestrial link, thus containing one satellite,<sup>2</sup> one satellite user, one BS, and one terrestrial user. For brevity, we name the basic cooperative models model *X*, model *L*, and model *V*.

- 1) *Model X*: A satellite and a TBS communicate with their users (ST/GMT) separately, sharing the same spectrum resources. The two lines in *X* represent the satellite link and the terrestrial link.
- 2) *Model L*: A satellite communicates with its user via a relay, which serves as a combination of a BS and ST. The three vertices in *L* represent the satellite, the relay, and the user.
- 3) *Model V*: A satellite cooperates with a TBS to serve one common user (HMT). The two lines in *V* represent the satellite link and the terrestrial link, while the intersection denotes the HMT.

The basic cooperative models, abstracted from various HSTNs, could fill the gap between microlink analysis and macronetwork evaluation, providing a mesoscopic sight to uncover the complete picture of HSTNs. In this way, an arbitrary HSTN can be considered a combination of these

<sup>2</sup>Focusing on satellite–terrestrial integration, we draw only one satellite to represent a GEO/MEO/LEO satellite.

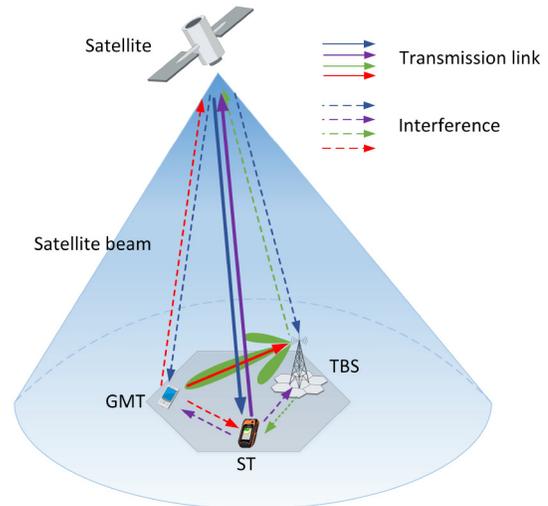


Fig. 3. Illustration of the model *X*.

aforementioned cooperative models, and studies on each model will contribute to uncovering the complete picture of HSTNs.

#### A. Model *X*

As shown in Fig. 3, in model *X*, a satellite and a TBS share spectrum resources to communicate with the ST and GMT, respectively. One of the key technical challenges of this model lies in the mutual interference between the satellite link and the terrestrial link [51], [52]. In particular, the interference from terrestrial users to satellites was studied by infield measurements and simulations in [53]. Different from the CCI between two links within a pure satellite or terrestrial network, the interference patterns in model *X* are diverse and complicated due to the aforementioned satellite–terrestrial differences.

The main interference in urban and rural areas differs greatly due to the different coverage of a single beam/cell

TABLE III  
PERFORMANCE ANALYSIS FOR MODEL X AND THE MAJOR SATELLITE–TERRESTRIAL DIFFERENCES CONSIDERED

Scenario	Channel difference (satellite/terrestrial)	Delay difference	Interference type	Performance	Achievements and analytic tools	
Satellite to ST, SISO, downlink	No (both free-space propagation loss)	No (synchronal receiving assumed)	TBS interferes SFT	Interference measurement	Calculation formulas and measuring data on a vehicle [57]	
	Yes (log-normal / cluster based scattering)			EC of GMT	Meijer-G function based analytical expression, with the interference constraint of PU [60]	
			Yes (generalized- $K$ distribution/ gamma distribution)	OP of GMT	Closed-form expression with constraints of PU, approximation of Gamma distribution [61]	
	Yes (Shadowed-Rician / Nakagami- $m$ )			Satellite interferes PU, TBS interferes SU	Effective capacity of ST	Closed-form expression of the effective capacity in the secondary satellite network [64]
				Yes (Shadowed-Rician / Suzuki)	Satellite interferes cellular users, TBS interferes SFT	EC of NOMA users
SFT to satellite, SIMO, uplink	No (both Rician fading channels)	TBS interferes satellite	OP of GMT		Closed-form expression [66]	
			OP and EC of SFT and GMT, diversity/coding gain	Unified closed-form analysis, the diversity/coding gain relationship, the fading parameter, the shadowing parameter [65]		
SFT to satellite, SISO, uplink	No (both rain-fading channels with log-normal distribution)	SFT interferes terrestrial receiver	Capacity of the satellite	Capacity of the satellite	Closed-form approximation of the upper bound capacity and the capacity with linear MMSE [58]	
				Capacity of the satellite	Approximation of the optimal joint decoding capacity and MMSE capacity with Haar measuring [59]	
					Interference analysis of the terrestrial link and capacity analysis of the satellite link [67]	

of SatComs and TerComs [54]. In urban areas, STs mainly suffer the interference from adjacent TBSs, which is more impactful than the interference from GMTs. For satellites, the interference from GMTs is almost negligible compared to that from TBSs. For TBSs and GMTs, the interference from neighboring STs is usually much stronger than that from satellites, as satellite signals generally endure more severe attenuation. The gateways may also suffer interference from TBSs when they are close to each other. In this case, the deployment of TBSs and gateways should be jointly optimized to mitigate harmful interference [55]. In rural areas, the interference between STs and TBSs/GMTs can be ignored because they are usually separated from each other by great distances. For satellites, the interference from TBSs is dominant, while that from GMTs can be ignored [56]. For TBSs/GMTs, the interference from satellites can be neglected, as it is much smaller than the desired signal. Next, we present the existing studies on model X in terms of system performance and resource management, and discuss the networking issue.

1) *Performance Analysis*: Some papers have analyzed the performance of model X in terms of capacity, ergodic capacity (EC), and outage probability (OP). We summarize them in Table III. In [57], both theoretical and experimental methods were applied to measure the interference between fixed satellite services and terrestrial radio-relay services. In [58] and [59], the capacity of satellite links with Rician fading was presented. In [58], an upper bound capacity of single input multiple output (SIMO) uplinks from SFTs to the satellite was given. In [59], both optimal joint decoding capacity and linear minimum mean-square error (MMSE) capacity were derived considering satellite interbeam interference and TBS interference.

To some extent, model X can be recast as a special case of the cognitive radio. In [60] and [61], a cognitive network where the ST acts as the primary user (PU) and the GMT acts as the second user (SU) was considered. The EC of GMTs was derived in [60] and a closed-form OP expression for a single input and single output (SISO) downlink was derived in [61]. In contrast, the case that the terrestrial network is the primary system, sharing the spectrum with the satellite was studied in [62]. The authors investigated the OP and EC of satellite uplinks under the consideration of imperfect channel state information (CSI). Yan *et al.* [63] investigated the EC performance of terrestrial users under the nonorthogonal multiple access (NOMA) transmission scheme and the superiority of the NOMA-assisted HSTN was proofed. Ruan *et al.* [64] considered the interference from the satellite to GMT and the interference from TBSs to ST and derived a closed-form expression of the effective capacity based on the moment generating function (MGF).

To directly characterize the interference in model X, the performances of both the ST and GMT with interference were studied in [65], where the relationships among diversity/coding gains, fading, and shadowing parameters were presented. For an extension of model X, in [66], the interference from both the satellite and the adjacent TBSs to cellular users was considered, and a closed-form expression of OP was derived. In light of the standard recommendations of ITU, the interference levels of terrestrial fixed services and the capacity of fixed satellite services were analyzed in [67], offering a useful guideline for efficient designs of the satellite–terrestrial coexistence.

Note that in the above studies, the shadowed-Rician fading channel was the most widely used channel model between

TABLE IV  
RESOURCE MANAGEMENT FOR MODEL X AND THE MAJOR SATELLITE–TERRESTRIAL DIFFERENCES CONSIDERED

Goal	Schemes	Achievements and analytic tools	Channel difference	Delay difference
Capacity	Power allocation	Effective capacity under the QoS requirements, with both perfect and imperfect CSI considered [68]	Yes	Yes
		Power control of STs to reduce the interference affecting terrestrial links [69]	Yes	No
		Spectrum reuse between satellite beams and terrestrial cells, power allocation to mitigate interference according to the traffic demand [70]	Yes	No
		Delay-limited capacity under the average and peak power constraints [72]	Yes	Yes
		Power allocation of both the satellite and TBSs in a distributed way based on the game theory [73]	Yes	No
		Power control schemes from the long-term and short-term perspectives to tackle spectrum sharing problems [74]	Yes	No
		Power allocation scheme of the satellite network under interference and delay constraints, closed-form OP expressions [78]	Yes	Yes
Energy		Power control of the satellite network with the interference constraint of PUs and the minimal rate requirement of SUs based on the outdated CSI [79]	Yes	No
Capacity	Spectrum sharing and carrier allocation	Improving the spectrum efficiency in the S band by beamforming, spectrum coordination between the satellite and terrestrial network [80]	Yes	No
		Database approach [81]	Yes	No
		Spectrum sharing using the large-scale CSI [82]	Yes	No
		Joint beamforming and carrier allocation for SatComs [86]	Yes	No
		Sequential carrier allocation between satellite and terrestrial systems [87]	Yes	No
		Carrier and power allocation with imperfect CSI, a dual decomposition method [83]	Yes	No
		Joint power and subchannel allocation for the satellite uplink with the OP constraint of terrestrial users [88]	Yes	No
Delay		Power and bandwidth allocation based on the non-ideal sensing in a distributed manner [85]	Yes	No
Interference mitigation		Both adaptive and non-adaptive beamforming schemes, a robust gradient based switching mechanism [91]	-	No
		Simulation of adaptive beamforming [92]	-	No
		Joint carrier allocation and beamforming scheme based on the radio environment map [94]	No	No
Fairness	Beamforming	Optimal beamforming weight vectors and power allocation using the uplink-downlink duality theory [97]	Yes	No
Energy		Beamforming under the interference and power constraints [98]	-	No
		Beamforming design, a UAV eavesdropper [102]	No	No
Capacity		Single user and multi-user analog-digital beamforming and hybrid carrier allocation [95]	No	No
		User pairing scheme for NOMA users, joint power allocation and beamforming optimization [100]	Yes	No
		Beamforming design of the uniform planar array of TBS, OP and EC analysis [101]	Yes	No
		Beamforming design of the satellite with a nonlinear power amplifier based on the large-scale CSI [103]	-	No

the satellite and the ground station [64]–[66]. However, comprehensive performance evaluations under the general Nakagami- $m$  channel model remain open. In addition, it is difficult to acquire perfect CSI in practice, which causes great difficulty in the implementation of accurate interference evaluations. Further studies with more practical analysis need to be explored.

2) *Resource Management*: To enhance the spectrum efficiency, the spectrum is usually shared in model X. In this case, methods used to manage radio resources, including the spectrum, power, and beams, greatly influence the system performance. We summarize the main literature on resource management for model X in Table IV.

a) *Power allocation*: The existing studies on power allocation still mainly focus on capacity promotion. Vassaki *et al.* proposed a power control algorithm under the QoS requirement [68]. Lagunas *et al.* studied a power control scheme

where the satellite uplink and terrestrial downlink coexist in the Ka band [69]. Park *et al.* [70] proposed a power allocation scheme to mitigate the intercomponent interference between satellite beams and terrestrial cells. Gao *et al.* [71] proposed an alternating direction multiplier method (ADMM)-based power control scheme to optimize the uplink throughput.

In addition to merely optimizing the capacity, some works have also jointly considered other goals to systematically design the power allocation strategy. Shi *et al.* [72] investigated two power control schemes to optimize the delay-limited capacity and the OP for real-time applications. To reduce the system cost of centralized processing, Chen *et al.* [73] proposed a distributed power allocation scheme based on the game theory. By taking the mobility of LEO satellites into account, Hu *et al.* [74] investigated a power control scheme to simultaneously maximize the capacity and minimize OP. Wang *et al.* [75] proposed a joint power allocation

and channel access scheme to maximize the rate of terrestrial users. Moreover, Hua *et al.* [76] considered a UAV-assisted HSTN and optimized the transmit power of TBS/UAV.

Energy efficiency is also very important for HSTNs because the payload of a satellite is always limited. Ruan *et al.* [77] proposed a power allocation scheme for a cognitive satellite-vehicular network to provide a tradeoff between energy efficiency and spectrum efficiency. In [78], an energy-efficient power allocation strategy was proposed for cognitive HSTNs under both delay and interference constraints. Based on outdated CSI, the energy efficient power allocation scheme was further investigated in [79], where the interference constraint of the terrestrial components and the minimal rate requirement of the satellite networks were taken into account.

*b) Spectrum sharing and carrier allocation:* To alleviate the spectrum scarcity problem, Deslandes *et al.* [80] studied a spectrum sharing strategy, and the mutual interference between the satellite link and terrestrial link was considered. Tang *et al.* [81] applied a database approach for spectrum sharing in the Ka band. Feng *et al.* [82] proposed a spectrum sharing strategy only using the large-scale CSI. Zhu *et al.* [83] designed a resource allocation algorithm to reduce the interference based on the imperfect CSI. By applying the exclusive zone for interference mitigation, Jia *et al.* [84] proposed a cognitive spectrum sharing and frequency reuse scheme to improve the energy efficiency and ensure intercell fairness. Based on the nonideal spectrum sensing, Wang *et al.* [85] provided a distributed resource allocation algorithm. Considering both downlink and uplink transmissions, Lagunas *et al.* [86] presented a joint beamforming and carrier allocation scheme for the satellite downlink, and a joint power, carrier, and bandwidth allocation scheme for the satellite uplink. Furthermore, Lagunas *et al.* [87] considered a wireless backhaul scenario and proposed a carrier allocation scheme for the enhancement of the overall spectrum efficiency. Recently, Chen *et al.* [88] proposed a joint power and bandwidth allocation scheme to achieve a tradeoff between fairness and efficiency.

*c) Beamforming:* The beamforming scheme could mitigate severe interference and combat high path loss. Combined with the technique of millimeter-wave (mmWave) communications, beamforming could further improve the spectrum efficiency [89]. For uplink transmissions [56], [90]–[92], the iterative turbo beamforming scheme [56], adaptive beamforming scheme [90], and semi-adaptive beamforming scheme [91] were investigated for interference mitigation. For the case of downlink transmissions, a multiple input single output (MISO) scenario was considered in [93] with the goal of signal-to-interference-plus-noise (SINR) maximization. Joint optimization studies were conducted in [94]–[97]. Combined with the carrier allocation [94], [95], BS deployment [96], and power allocation [97], the beamforming schemes were jointly designed to tackle CCI.

Moreover, some novel techniques and practical assumptions were considered for advanced beamforming. In [98] and [99], the beamforming schemes were applied to enhance secure transmissions, and the minimal achievable secrecy rate as well as total transmit power were optimized, respectively.

Furthermore, Lin *et al.* [100] investigated a joint beamforming scheme of satellite and terrestrial components where ground users were grouped into clusters based on the NOMA technique. To explore the mmWave band in HSTNs, Zhang *et al.* [101] optimized the downlink beamforming of TBSs with the interference probability constraint of satellite users. Ruan *et al.* [102] considered a scenario where the UAV acts as a malicious eavesdropper. More recently, Liu *et al.* [103] investigated downlink beamforming with a nonlinear power amplifier. By utilizing the environmental and location information, Wei *et al.* [104] optimized the precoding matrix of maritime users to maximize the ergodic sum capacity.

*3) Networking Issue:* If we extend the satellite and terrestrial links in model  $X$  to a satellite subnetwork and a terrestrial subnetwork, respectively, we may face networking issues of integrating two very different networks. To address this point, some promising architectures have been proposed in the literature. In particular, Guidotti *et al.* [105] presented an architecture of HSTNs and analyzed the main technical challenges caused by the satellite channel impairments, such as large path loss, delays, and Doppler frequency shifts.

Chien *et al.* [106] introduced the architecture and challenges of HSTNs for IoT applications, where 5G, Wi-Fi, Bluetooth, LoRa, and other transmission technologies were jointly exploited. Huang *et al.* [107] reviewed the evolution of wireless communications and provided an entire architecture of the future 6G green network, including ground, aerial, space, and undersea components. In addition, Charbit *et al.* [108] provided a system design for the narrowband IoT air interface of HSTNs, aiming to construct a backward compatible solution for future various IoT applications. Kato *et al.* [109] considered a three-tier network consisting of space, air, and ground segments and proposed a  $Q$ -learning-based method to optimize the path selections. Furthermore, Liu *et al.* designed a new task-oriented intelligent networking architecture, including space, air, ground, and aqua components. By introducing the technique of edge cloud computing, intelligent methods, and information-centric networking (ICN), the network can be much enhanced to tackle the challenges that have not been overcome yet [110]. However, the authors only provided a macrooutlook of this heterogeneous architecture and many detailed schemes have not been discussed.

To facilitate the deployment of new techniques and keep pace with the fast evolution of communication systems, SDN and NFV techniques can be utilized for satellite-terrestrial integration. Bertaux *et al.* [111] pointed out that programmability, openness, and virtualization are new trends for HSTNs. With this consensus, Boero *et al.* estimated the end-to-end delay [112], Lin *et al.* studied virtual spectrum allocation techniques [113], and Niephaus *et al.* investigated the dynamic traffic offloading [114] for SDN-based HSTNs. Zhang *et al.* [115] implemented MEC techniques in HSTNs and investigated a task offloading model to improve the QoS of mobile users. Bi *et al.* [116] proposed some possible ways to improve the QoS using edge computing. Feng *et al.* [117] creatively devised a flexible architecture named HetNet, which synthesizes the locator/ID split and

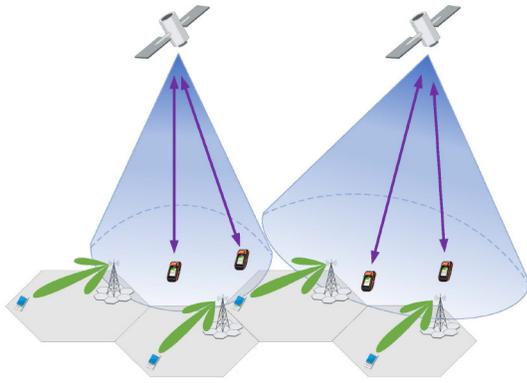


Fig. 4. Illustration of a 3D cell-free HSTN, derived from the extension of model X.

ICN to accelerate the convergence of satellite and terrestrial networks. To satisfy the growing diversity of users' needs, Feng *et al.* [118] further introduced the service function chains into HSTNs and designed an efficient mapping approach. By introducing SDN techniques into LEO satellites, Papa *et al.* [119] investigated the controller placement and satellite-to-controller assignment to overcome the impact of topology changes and traffic variations. Du *et al.* [120] evaluated the performance of the multipath transmission control protocol (MTCP) and designed an SDN control scheme to achieve the soft handover in an LEO network. Based on the virtualization technology, Chen *et al.* [121] introduced the resource cube to depict the minimum unit of resources and designed a service-matching scheme to minimize the total system delay. Wang *et al.* [122] assumed that virtual resources could be embedded into any physical nodes of HSTN and proposed a traffic scheduling scheme to effectively allocate resources. Recently, Feng *et al.* [123] further considered the elastic resilience of SDN-enabled HSTNs. The controller reachability, failure detection, and recovery problems have been discussed in depth.

4) *Future Directions*: Most existing works on model X have focused on the satellite–terrestrial differences in wireless channels and coverage performance, while the delay difference has been mostly ignored, which should attract more research attention. Taking all the differences into account, we may further uncover the interference mechanism in model X. On that basis, systematic performance evaluation and holographic resource management can be envisioned. We also want to emphasize that practical constraints, including limited system cost, non-linear hardware, and imperfect prior knowledge, should be carefully handled in future studies.

As shown in Fig. 4, the extension of model X with multiple satellite/terrestrial links no longer follows the cellular architecture. Since the cellular network is regular in general, it could be easily decoupled into geographically separated cells. However, for HSTNs, satellite–terrestrial integration leads to a 3D cell-free architecture. Due to the complicated coupling therein, the cell-free architecture is undecomposable and difficult to analyze. One possible solution is to dynamically decouple the network on radio maps instead of the real geographical map. This radio map may characterize the

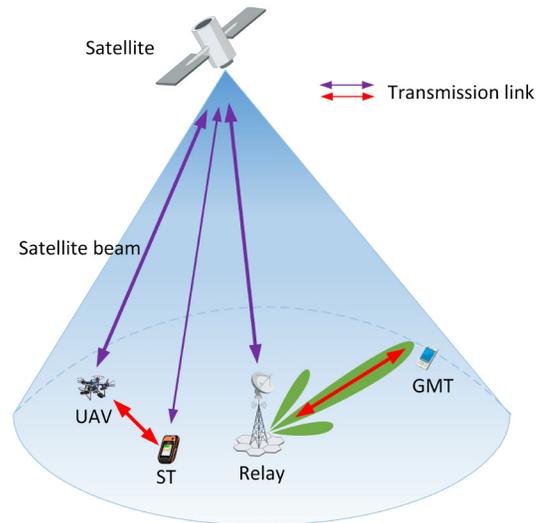


Fig. 5. Illustration of the model L.

large-scale interference relationship. However, the basic theory and methods still remain unknown.

### B. Model L

As shown in Fig. 5, model L typically consists of one satellite, one relay, and one destination user. In addition to the TBS, aerial facilities, such as UAVs, can play the same role as relays. The destination user can be either a GMT, which cannot directly receive the signal from the satellite, or an ST, which has a direct transmission link from the satellite. Compared with the terrestrial/aerial links, direct satellite links are usually weaker due to the limited size of mobile terminals. In this model, the relay can enhance the satellite links. This is especially important for users in remote rural, desert, and sea areas. These areas are out of the coverage of terrestrial 4G/5G networks and mainly rely on satellites for communications. For users that can only achieve narrowband satellite services or are unable to directly access the satellite, the relay could provide broadband connections using model L. As an extension of basic model L, when multiple relays are considered, efficient relay selection could help improve the on-demand coverage extension. Similar to model X, the differences between SatComs and TerComs in wireless channels and beam/cell coverage impact the performance of model L. The delay difference becomes a critical factor concerning the two-phase transmission in model L. Next, we present the related works on model L from the perspectives of system performance and resource management, and discuss the security issue.

1) *Performance Analysis*: Some papers have analyzed the performance of HSTNs under model L in terms of symbol error rate (SER), average SER (ASER), capacity, EC, and OP. We summarize the related studies in Table V. According to relaying modes, the existing works can be classified into two categories, namely, the amplify-and-forward (AF) relaying type and the decode-and-forward (DF) relaying type. In the AF mode, the relay amplifies the received signal from the satellite and then forwards it to the destination. In the DF mode, the relay decodes the received signal and forwards the

TABLE V  
SYSTEM PERFORMANCE FOR MODEL  $L$  AND THE MAJOR SATELLITE–TERRESTRIAL DIFFERENCES CONSIDERED

Relay mode	Relay number	Performance	Satellite link	Terrestrial link	Direct link	Achievements and analytic tools
AF	Single	ASER	Shadowed-Rician	Free-space optical link, Gamma-Gamma fading	No	ASER for MPSK, analytical diversity order [127]
				Nakagami- $m$	Yes	ASER for MPSK, analytical diversity order [126]
		Yes			Both single relay and multiple relay networks are considered [129]	
		No			Channel estimation and detection designs, MGF with imperfect CSI [141]	
		Yes			Closed-form and asymptotic expressions of OP for the NOMA-aided HSTN [134]	
		No			OP evaluation under opportunistic user scheduling [142]	
		No			Approximated expression, lower and upper bound of EC, asymptotic OP [131]	
		No			Beamforming scheme of the multi-antenna relay, analytical diversity order [128]	
		Yes			Alamouti code [125]	
		No		S-R: MISO, S-D: MIMO, R-D: SIMO, closed-form expression of EC [130]		
	No	OP and throughput analysis under the effect of hardware impairments [144]				
	Yes	Closed-form expression of SER [124]				
	Multiple	OP	Rician	Rayleigh	Yes	Closed-form expression of the OP under non-identical relay channels [137]
		ASER	Shadowed-Rician		No	Analytical expression of the MGF with CCI, MRC at destination [135]
		OP, SER, achievable rate	$\kappa - \mu$ shadowed fading		No	Performance analysis of single and multiple relays under two relay selection schemes [145]
		OP	Shadowed-Rician		Yes/No	Two underlying selection policies to minimize the OP [136]
					No	S-R: MISO, S-D: MISO, R-D: SIMO, closed-form expression of OP, user and relay selection [138]
		OP, EC, SER	Shadowed-Rician		No	OP, diversity analysis of two interference scenes [146]
Yes					MIMO-enabled relay, OP and probability of error analysis of three transmission cases [140]	
DF		Single	OP		Land mobile satellite fading	No
	No			OP analysis under imperfect CSI, power allocation to ensure user fairness [156]		
	Multiple	OP, EC	Rayleigh	No		OP and energy efficiency analysis using a NOMA-enabled relay [154]
		SER		Yes		Relay selection scheme, analytical diversity order [155]
		OP		Yes		Selective decode-and-forward transmission, closed-form expression of the symbol error probability using MGF [148]
		EC		Yes		Best relay selection and analytical expression of OP using MRC and MGF [147], closed-form expression of OP [149]
				Yes		Closed-form expression of EC [150]
		EC		Rician		Nakagami- $m$

decoded information to the destination. Compared with conventional AF/DF relay systems with homogeneous links, the enormous differences between SatComs and TerComs pose new challenges for model  $L$ .

Most of the existing literature focuses on the performance of model  $L$  achieved by the one-way relay, under which the satellite transmits to the relay in the first phase, and the relay forwards the received signal to the destination in the second phase. In [124], SER was derived with AF relays over nonidentical fading channels. Ruan *et al.* [125]

analyzed the OP and SER of the Alamouti HSTN. In [126] and [127], the multiple phase shift keying (MPSK) ASER was derived for different terrestrial channels. Extending to the multiantenna relay case, the ASER was analyzed under a proposed beamforming scheme in [128]. In addition, with the consideration of complex CCI, the distributions of the signal-to-noise ratio (SNR) and ASER were provided in [129]. In [130], an approximated closed-form expression of EC was derived for an enhanced model  $L$  with multiantenna satellite and multiantenna user. For the multiuser case, the OP

TABLE VI  
RESOURCE MANAGEMENT FOR MODEL  $L$  AND THE MAJOR SATELLITE–TERRESTRIAL DIFFERENCES CONSIDERED

Goal	Schemes	Achievements and analytic tools	Channel difference	Delay difference
Energy	Power allocation and relay selection	Multi-relay, mixed binary and fractional optimization problem, binary relaxation and dual decomposition [158]	Yes	No
	Power allocation and transmit model selection	SER performance of two transmission modes, adaptive transmission scheme to maximize the energy efficiency [160]	No	No
	Power and subchannel allocation	Power allocation for the satellite and satellite-terrestrial terminal, subchannel allocation for the ground downlink offloading, a binary search algorithm [162]	Yes	No
Capacity		Maximize the sum throughput under delay constraints of delay-sensitive services [163]	Yes	Yes
	Beamforming	Beamforming design with multiple relays, multiple GMTs and multiple eavesdroppers [159]	Yes	No
Energy		Beamforming design of the UAV relay with angular-information-based CSI [161]	Yes	No

performance was analyzed under the optimistic user scheduling [131] and the NOMA transmission scheme [132]–[134]. Specifically, to exploit the spectrum efficiency, a novel AF relay mode was applied in [133] and [134], where the relay not only forwards the signal of the ST but also serves ground users.

Some research efforts have also devoted to the enhanced model  $L$  with multiple relays and multihop relays [135]. In [136], spectrum sharing between the satellite PU and terrestrial SUs was considered, and the OP of the PU was minimized by selecting the best relay. In [137], a multihop AF relay network was analyzed where the maximum ratio combining (MRC) technique was used at receivers. In [138], a relay selection scheme was investigated with multiantenna satellites. In [139], the OP performance of a multirelay multiuser HSTN was analyzed, and the authors presented a relay selection scheme based on the rain attenuation value. Recently, in [140], the OP performance of a MIMO-enabled HSTN was investigated where the satellite, relay, and user are all equipped with multiple antennas. These results have shown potential performance gains from the increasing system cost, i.e., appending antennas and relays. However, current studies still focus on the gain only. Motivated by practical applications, the corresponding cost model should be investigated, and the benefit-to-cost ratio (BCR) should be optimized in future works.

In addition to the above works, some special scenarios with more practical constraints and new types of transmission regimes have also been discussed. In particular, Arti [141] derived the ASER and the average capacity for AF relays based on the imperfect CSI. Upadhyay and Sharma [142] derived the OP expression with multiple users and an AF relay based on the outdated CSI. An *et al.* [143] introduced the cache-enabled relays into the HSTN and analyzed the OP under two typical content placement schemes. The performance of the two-way relay was investigated in [144] and [145]. In this regime, there are two sources (the satellite and the user) and one common relay. The two sources transmit to the relay in the first phase, and the relay simultaneously transmits to both sources in the second phase. In addition, Sharma *et al.* [146] analyzed the OP of an overlay HSTN where multiple IoT receivers work as relays to forward

data from the satellite while simultaneously transmitting their own signals using the same frequency.

In general, the DF mode outperforms the AF mode due to the decoding gain [147]–[150]. For the DF mode, An *et al.* investigated the capacity and OP of HSTNs in [151] and [152], respectively. Zhao *et al.* analyzed the EC with AF and DF protocols and proposed a relay selection strategy to lower the overhead [153]. By taking the hardware impairment into account, the OP performance was analyzed in [154] and [155]. Xie *et al.* [156] investigated the NOMA scheme of HSTNs, and both the AF and DF protocols were considered. Recently, Sharma *et al.* [157] applied multiple UAVs as relays and evaluated the OP performance under opportunistic relay selection.

2) *Resource Management*: Due to the asymmetric round-trip time (RTT), the resource management in model  $L$  is quite different from that of conventional scenarios. We summarize the related literature in Table VI. In particular, Xu *et al.* [158] proposed a joint relay selection and power allocation scheme. Yan *et al.* [159] optimized the beamforming vector of the relay to maximize the secure rate. By assuming the satellite can communicate with ST either through a direct link or coordinating with the relay, Ruan *et al.* [160] proposed an adaptive transmission scheme by selecting the transmission mode and optimizing the transmit power. Huang *et al.* [161] investigated the potential gain of the UAV relay and designed a beamforming scheme for the energy efficiency maximization. Ji *et al.* [162] proposed an information forward strategy for remote users and presented a joint resource allocation scheme to improve the energy efficiency of the satellite. Taking the delay requirement into account, Ji *et al.* [163] further proposed an efficient resource allocation scheme for the backhaul networks.

3) *Security Issue*: In comparison with terrestrial links, satellite transmissions are much more open due to fewer scatters and longer distances, which inevitably provide opportunities for illegal hackers and lead to security problems. Model  $L$  could help to tackle this problem through sophisticated relaying designs. In [164], the achievable secrecy capacity was derived with eavesdroppers and AF relays. The beamforming scheme was discussed in [165] to maximize the secrecy rate of HSTNs. The security performance with multiple colluding eavesdroppers was analyzed in [166]

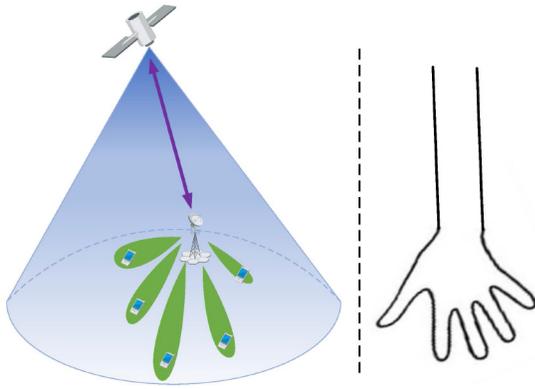


Fig. 6. Illustration of an arm-hand-like HSTN, derived from the extension of model  $L$ .

and [167], and the case of noncolluding eavesdroppers was discussed in [168]. For the extension scenarios of model  $L$  with multiple relays, Cao *et al.* [169], Guo *et al.* [170], Sharma and Kim [171], and Xu *et al.* [172] addressed the issue of relay selection to improve the secrecy performance. Nevertheless, some important issues, including the antenna patterns of satellites/relays, more practical channel models, and more aggressive eavesdropping behaviors, should be considered in future studies to exploit model  $L$  and its extensions for security enhancement in practice.

4) *Future Directions*: Most existing works on model  $L$  have considered the satellite–terrestrial difference in channel models. However, only a few studies have paid attention to the difference in the transmission delay. In addition, the processing delay and mobility of MEO/LEO satellites within the relaying duration have not been widely discussed. Note that the dynamic topology of MEO/LEO satellites brings nonnegligible handover time, and how to match the delay difference between SatComs and TerComs is still unsolved. Moreover, dynamic beam tracking and adaptive processing at the relay could be further investigated to flexibly adapt to the changing environment. Finally, similar to model  $X$ , accurate models to characterize the system cost and BCR-oriented optimization frameworks are interesting future research directions for model  $L$ .

As shown in Fig. 6, the extension of model  $L$  with multiple terrestrial links forms an arm-hand-like HSTN, where the satellite provides large-scale coverage and beams of the relay achieve high-precision user targeting. Generally, in practice, the relays can be deployed on any mobile platforms, e.g., a car, a vessel, or an airplane, constructing many promising hierarchical network architectures with elastic coverage capabilities. We may mimic the smart synergistic behavior of arms and hands, i.e., the coarse adjustment of arms and the accurate control of hands, and accordingly create new ways to utilize model  $L$ . Actually, it is quite interesting to learn from nature, and explore a bionic network research direction, not only for HSTNs but also for other complicated networks.

### C. Model $V$

As shown in Fig. 7, model  $V$  consists of one satellite, one BS, and one HMT. When both satellites and TBSs are

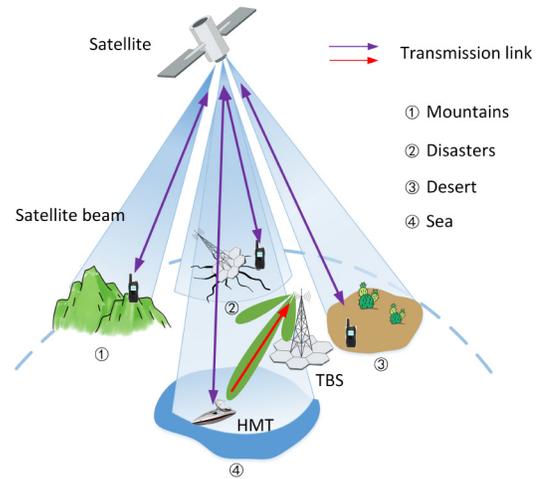


Fig. 7. Illustration of the model  $V$ .

available, e.g., in urban areas, the HMT mainly connects TBSs for cheaper broadband services. However, in mountainous, disasters, desert, and marine areas, terrestrial facilities usually become unavailable, and the HMT turns to satellites for uninterrupted communication services. In addition to these two either-or fashions, the satellite and the TBS could also work in a coordinated way. One possible example is to use the satellite for low-rate wide-area signaling and use the TBS for high-speed data transmissions with local pencil beams, according to the reported position information by signaling. From the service-management perspective, we may further allocate broadcast and unicast services to satellite and terrestrial links, respectively, to utilize the coverage difference of SatComs and TerComs. In general, HMT is a two-in-one user, and thus, the QoS offered by model  $V$  could be higher than that by models  $X$  and  $L$ , which provides a new dimension for satellite–terrestrial integration. However, a satellite–terrestrial dual-mode terminal is much more expensive and complex than the dual-/multimode terminal of the current cellular networks. There are open problems to be solved regarding efficiently using model  $V$  as well. Next, we present existing studies on model  $V$  from the perspectives of system performance and resource management, and discuss the handover issue.

1) *Performance Analysis*: In model  $V$ , the multidiversity reception is usually used to compensate for the large attenuation of SatComs. At HMT, MRC and selective combining (SC) can be exploited to combine the signals from the satellite and TBS. In the MRC scheme, HMT forms a new signal with its carrier-to-noise ratio (CNR) equal to the sum of CNRs of incoming signals, while in the SC scheme, the HMT selects the best signal for diversity gain. The OP performance of MRC and SC was analyzed in [173] and [174], where the potential gain of MRC compared with SC was shown. Similar to models  $X$  and  $L$ , the performance of model  $V$  under different channel fading conditions and with different system configurations, e.g., the number of antennas equipped on satellites/TBSs should be further investigated.

2) *Resource Management*: In model  $V$ , how to choose the appropriate access point, i.e., the satellite or TBS, and

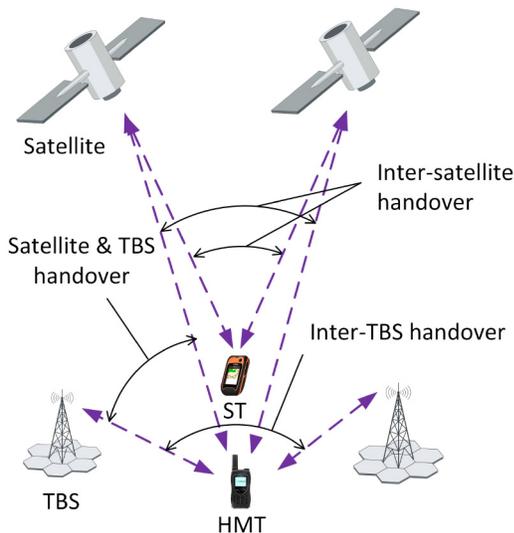


Fig. 8. Two kinds of handover for model V.

how to allocate radio resources need to be carefully considered. Khan *et al.* [175] proposed a multiradio access algorithm. Choi and Joo [176] investigated a scheduling strategy regarding whether to transmit to the mobile user directly or relay the signal by a ground gateway, and the beamforming, user scheduling, as well as routing were jointly optimized. Particularly for post-disaster communications, Fujinoin *et al.* [177] introduced a resource allocation method for efficient satellite–terrestrial integration. To promote broadcast services, the space-time coding scheme was studied in [178] and [179]. The Alamouti space-time code and prefilter were used to mitigate the echoes in HSTNs [180]. Note that more options usually mean more cost. In general, model V requires more complicated system overhead and more expensive hardware. However, until now, there have been few works toward holistically modeling the cost of model V and accordingly investigating the BCR-oriented resource allocation strategies.

3) *Handover Issue*: As shown in Fig. 8, when mobile users or MEO/LEO satellites move, the network topology will change, and the handover is needed between satellites and TBSs. Generally, in model V and its extensions, the handovers can be divided into two types, namely, horizontal and vertical handovers. When a user moves to the edge of the serving satellite or TBS, it is passed on to the other satellite or TBS, which is the horizontal handover. If the handover occurs between the satellite and TBS, it is regarded as the vertical handover [32]. Yeo and Turner [181] studied bandwidth allocation and handover management in LEO HSTNs. To achieve global roaming, Akyildiz *et al.* [182] proposed a comprehensive design of the mobility management, where an interworking agent was introduced for both horizontal and vertical handovers. Crosnier *et al.* [183] studied the handover problem with the satellite as the backhaul. Liu *et al.* [184] proposed a named data networking regime for fast handovers.

Vertical handover often occurs in model V when the user or satellite moves. Sadek and Aïssa [185] investigated the handover decision between the GEO satellite and

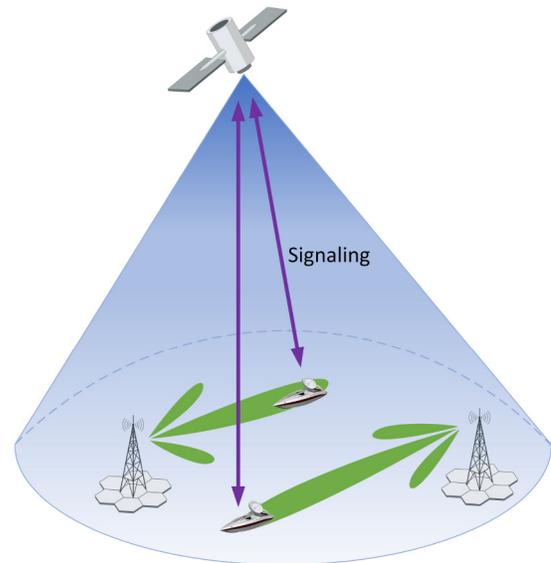


Fig. 9. Illustration of a control-communication decoupled HSTN, derived from the extension of model V.

TBS. Kamga *et al.* [186] studied the handover problem by combining MIMO techniques and proposed an optimal user-driven handoff algorithm. Fan *et al.* [187] discussed a suite of signaling protocols, including registration, call setup, and intersegment handover for HMTs to enable Internet Protocol (IP)-based HSTNs. Considering the different working regimes of ground and space domains, the handover between satellite and terrestrial systems may lead to the long delay. In addition, the bidirectional mobility of satellites and users further increases the uncertainty regarding handover issues. In the future, seamless switching needs to be achieved by taking the above two challenges into account.

4) *Future Directions*: It should be noted that the cooperative processing for model V may result in high inter-system communication complexity and additional overhead. In addition, protocol transformation and matching are required because the communication schemes and transmission rates between satellite and terrestrial systems do not match. Based on this, it is necessary to further study low overhead multisystem cooperative interactions, including intersystem information transfer optimization and intersystem rate matching.

As shown in Fig. 9, the extension of model V may produce a control-communication coordinated HSTN, where the satellite provides wide-area signaling, and the TBS adopts pencil beams to efficiently serve target users according to the information, e.g., the positions of users, provided by the narrowband control subsystem. This framework may greatly improve the efficiency of the terrestrial subsystem since it no longer needs to cover the whole area, and thus is able to focus radio resources on the target users. When the requirement occurs in the blind areas of TBSs, the satellite control subsystem will report this demand at once, and a pencil beam will be dispatched to the corresponding user. Nevertheless, due to the differences between SatComs and TerComs, especially in terms of latency, how to achieve timely interactions between

the two subsystems is still challenging. A promising approach to overcoming this problem is to use the extrinsic information, e.g., the shipping lane information of marine users, and historical information, e.g., the communication behavior of users, to establish an integrated on-demand and prediction-driven response regime, which, however, still remains open.

#### IV. OPEN ISSUES

To date, the 3GPP has finished all standardization works of NTN in 3GPP Release 16. New normative solutions of 5G new radio (NR) in NTN are under investigations in 3GPP Release 17, and a long-term study of Release 18 as well as Release 19 is being carried out [188]. Although new 5G infrastructures are currently being deployed, which would bring excellent performance improvements, many challenges still exist to meet the increasing requirements of future ubiquitous IoT. The seamless, low delay, and high capacity demands all call for the establishment of an agile, smart, and secure HSTN. To this end, more research efforts should focus on deriving the fundamental theories and technologies for each basic cooperative model. On that basis, the intelligent orchestration of models  $X$ ,  $L$ , and  $V$  is important to create an HSTN in an on-demand manner. In addition, some frontier technologies, such as artificial intelligence [109], MEC [189], and blockchain technologies [190], can be leveraged. These technologies are under rapid development and become new promoters of the smart integration of SatComs and TerComs. Next, we briefly outline these potential open issues.

##### A. BCR Maximization for Basic Cooperative Models

Since the establishment of an HSTN is usually costly, we have to carefully evaluate its BCR, rather than only considering its gains. To this end, a holistic HSTN cost model should be studied, and the multidimensional gains should be mathematically characterized. On that basis, the BCR optimization framework could be established for each basic cooperative model.

##### B. Intelligent Orchestration of Basic Cooperative Models

The proposed three basic cooperative models provide a mesoscopic sight to fill the gap between microlink analysis and macronetwork evaluation of HSTNs. To satisfy varying user demands, a practical HSTN should be capable of dynamically changing the combination fashion of basic cooperative models, and we call this intelligent orchestration of basic cooperative models. To do so, a cyber agent can be introduced to gather data on service requirements, environmental information, and network status, intelligently deciding whether and how to change the orchestration. Among these procedures, the theoretical bounds and foundational tradeoff should be given for practical guidance. In addition, the cyber agent is expected to abstract knowledge from historical behaviors, upgrade with the network, and become increasingly intelligent. Toward this end, a knowledge-driven network architecture may be established for HSTNs.

##### C. Interplay Between HSTNs and Other Technologies

Machine learning technologies, e.g., deep reinforcement learning, can be utilized to promote HSTNs, especially to solve the hard-to-model problems therein. On the other hand, the differences between SatComs and TerComs also require the upgrading of conventional machine learning methods, e.g., federated learning in HSTNs should be redesigned to adapt to the network conditions.

Smart caching and MEC can be adopted into HSTNs, leading to a caching, computing, and communication integrated network. The differences among caching, computing, and communication, coupled with the differences between SatComs and TerComs, will bring about huge complexity. Systematic methods and economic methodologies, e.g., smart pricing, can be used to tackle this problem.

Blockchain technology can be utilized to establish an open ecology for resource allocation in HSTNs. In addition to reducing the complexity of network management, it may also achieve increased efficiency and security. Combined with SatComs, the inherent broadcasting merit of satellites can also help to increase the efficiency, e.g., transactions per second, of traditional blockchain systems.

#### V. CONCLUSION

In this article, we have proposed three basic cooperative models, i.e., model  $X$ , model  $L$ , and model  $V$ , to better understand large-scale heterogeneous HSTNs. The differences between SatComs and TerComs have been summarized, and the state-of-the-art technologies for each model have been reviewed. We have shown possible future directions toward establishing a cell-free, hierarchical, decoupled HSTN. We have also outlined open issues to envision an agile, smart, and secure HSTN for the upcoming 6G ubiquitous IoT.

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